



# INTERNATIONAL GRAIN TRADE COALITION

ANIAME

APPAMEX

AWB Limited

Canada Grains  
Council

Centro de  
Exportadores  
de Cereals

COCERAL

Grain and Feed  
Trade Association

Hungarian  
Grain and Feed  
Association

National  
Grain and Feed  
Association

North American  
Export Grain  
Association

National  
Corn Growers  
Association

Solvent Extractors'  
Association  
of India

Soybean  
Processors  
Association  
of India

US Grains Council

US Wheat  
Associates

Wheat Export  
Trade Education  
Committee

## Notice to Trade

### Documentation Requirements for Shipments of LMOs for Food, Feed or for Processing effective 11 September 2003.

*The following summary is an overview of certain aspects of the Biosafety Protocol, an international agreement designed to protect global biodiversity. The summary is based upon the best information known to date and the advice provided by the International Grain Trade Coalition (IGTC) to governments on how to implement the Protocol to meet the needs of the world's food, feed and processing industry. The following is not intended as legal advice or opinion. Entities impacted by the Biosafety Protocol (those that are engaged in the international movement of products that may contain living modified organisms derived from modern biotechnology) are strongly encouraged to contact their legal counsel and regulatory affairs representatives for further information in order to make necessary decisions concerning the matters in this overview.*

**Introduction:** The Cartagena Protocol on Biosafety comes into force on 11 September 2003. On this date those countries that have ratified the Protocol become Parties to the Protocol and are expected to implement its provisions. Article 18.2(a) of the Protocol says that each Party shall take measures to require documentation accompanying "living modified organisms (LMOs) that are intended for direct use as food or feed, or for processing, clearly identifies that they "may contain" living modified organisms and are not intended for intentional introduction into the environment, as well as a contact point for further information. The Conference of the Parties serving as the meeting of the Parties to this Protocol (COP/MOP) shall take a decision on the detailed requirements for this purpose, including specification of their identity and any unique identification, no later than two years after the date of entry into force of this Protocol." Thus the provisions of the first sentence take effect on September 11<sup>th</sup> while the COP/MOP has two years to decide whether further requirements are needed.

Companies shipping LMOs for food, feed or for processing to countries that have ratified the Protocol may find that they are expected to comply with the Protocol's provisions. However many of these countries are only now developing their regulatory frameworks and once developed these regulations may be more detailed than contained in the first sentence of Article 18.2(a). As a result the IGTC offers the following suggestions to industry:

**1. Determine whether the country is a Party to the Protocol:** Check the Biosafety Clearing House (BCH) to determine whether the importing country is a Party to the Protocol and confirm the date of entry into force for that particular

country. While the Protocol comes into force on September 11, 2003, countries can establish a different date for the Protocol to come into force in their particular country. See <http://www.biodiv.org/biosafety/signinglist.aspx>

**2. Determine whether the country has specific regulations on the documentation requirements for LMO shipments for food, feed or for processing:** Many countries are currently developing regulatory frameworks for the transboundary movement of LMOs. These provisions can be more detailed than contained within the Protocol. Parties must post their regulations on the BCH. See <http://bch.biodiv.org/Pilot/Home.aspx>. The BCH is currently in a pilot phase but when fully operational the BCH should become the major source for each country's biotechnology regulations. In the meantime exporters should seek clarification from importers before shipment on what regulations, if any, exist covering the transboundary movement of LMOs for food, feed or for processing.

**3. Documentation on LMO shipments for food, feed or for processing:** Unless notified by the importer otherwise, the IGTC recommends that the commercial invoice be used as the document to carry the "may contain" language, when required. If all exporters use the same document, then custom officers do not have to search through all shipping documents to see whether the cargo is an LMO shipment. The IGTC suggests that the following declaration be placed on the invoice:

***"Cartagena Protocol Provision: This shipment may contain living modified organisms intended for direct use as food or feed, or for processing, that are not intended for intentional introduction into the environment."***

The last exporter prior to transboundary movement and the first importer after transboundary movement named on the invoice should be the contact points for further information.

**4. When to use the documentation:** The Protocol is silent on what constitutes an LMO shipment and therefore does not define when the documentation is needed. The IGTC continues to recommend to governments that the unintentional presence of approved LMOs in a non-LMO shipment should not be considered a trigger for the "may contain" documentation. The IGTC continues to advise governments therefore that the "may contain" declaration should be given for all LMO shipments of commodities intended for food or feed or for processing to countries that have ratified the Protocol except for those shipments for which:

- (i) The exporting country does not have in commerce any LMO of that species; or
- (ii) Such shipment achieves a minimum of 95% non-LMO content, and that such definition does not conflict with regulations of the importing country.

Number (i) is recommended to exempt shipments of crops such as wheat as there is no LMO wheat in commerce. The 95% purity threshold in (ii) was selected by the IGTC as some importing countries have selected this level of purity as the minimum purity level allowed and this level is being used in current bilateral negotiations. This exemption is designed to exempt non-LMO shipments of such commodities as soybeans when the non-LMO purity level is 95% or higher.

### **Conclusions**

Although the Protocol comes into force on September 11<sup>th</sup>, there are still a considerable number of outstanding documentation issues that need to be resolved in Malaysia 23-27 February 2004 by the Conference of the Parties serving as the Meeting of the Parties (COP/MOP).

In the meantime companies should discuss with their legal counsel and government regulatory affairs officials IGTC recommendations. Effective September 11<sup>th</sup>, countries that have ratified the Protocol may require declarations for all LMO shipments for food, feed or processing.